CIVIL DOCKET UNITED STATES DISTRICT COURT

Jury demand date:

D. C. Form No. 106A Rev.

TITLE OF CASE	ATTORNEYS
Lawrence F. Fink vs. Joseph C. Caldwell, Jr.	For plaintiff: Richard D. Amatucci Vestal & Allis, Inc. Suite 340, City Plaza-East 5330 East 31st Street Tulsa, Okla. 74135 663-2500
	For defendant: James C. Lang, David Trotter 411 Thurston Natl Bldg Tulsa, Okla 74103

	STATISTICAL RECORD	COSTS	DATE	RECEIPT NO.	REC.	DISB.	
=	J.S. 5 mailed /-/-74	Clerk	12-11 12-13	-73 Vestal -73 C/D #21	15 00	15 00	
	J.S. 6 mailed 10-1-74	Marshal					
	Basis of Action: Violation on basis of S.E.C. Act	Docket fee					
	1933	Witness fees					
	Action arose at:	Depositions					
		The second secon	T)				

FPI M1-1-3-72-100M-7199

DATE	PROCEEDINGS	Date Order or Judgment Note
12-10-73	Complaint filed, summons issued. a	
1-4-74	U.Smarshal's service returned and filed. Served Summons upon Joseph C. Caldwell, Jr., 1-2-74, at Emporia, Kansas. (USM 18.40)jj	
1-22-74	Application of Joseph C. Caldwell, Jr. for elnlargement of time to plead or answer, filed. ds	
1-22-74	Order, filed, Allowing Joseph C. Caldwell, Jr. Enlargement of Time until 2-6-74. (LB-J)jj copies mailed	
2-6-74	ANSWER of Deft. Joseph C. Caldwell, Jr., filed.jj	
3-22-74	Case set for PT hearing on 4-1-74 at 9:30 A.M. (LB-J)jj	
4-1-74	Case called for pre-trial hearing. Statements made. Pre-trial heari passed for 60 days. (LB-J)hm	ng
6-4-74	Case set for pre-trial 6-17-74 at 9:30 am. (LB-J)hm	
6-17-74	Case called for pre-trial hearing. Deft. counsel present; pltf. coun not present. Mr. Lang announces possible settlement; pre-trial passe pending settlement. (LB-J)hm	sel d
7-3-74	Dismissal with prejudice, filed. hm	
9-18-74	Order of dismissal, filed and entered, dismissing case with prejudic (LB-J)hm (copies mailed)	e.